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Efficient Conversion of Deep Features to Compact Binary Codes Using Fourier Decomposition for Multimedia Big Data

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6 Abstract-Exponential growth of multimedia data has been witnessed in recent years from various industries, 7 such as e-commerce, health, transportation, and social net-8 9 works, etc. Access to desired data in such gigantic datasets require sophisticated and efficient retrieval methods. In the 10 last few years, neuronal activations generated by a pre-11 trained convolutional neural network (CNN) have served as 12 generic descriptors for various tasks including image clas-13 sification, object detection and segmentation, and image 14 retrieval. They perform incredibly well compared to hand-15 crafted features. However, these features are usually high 16 dimensional, requiring a lot of memory and computations 17 18 for indexing and retrieval. For very large datasets, utilization of these high dimensional features in raw form becomes in-19 feasible. In this paper, a highly efficient method is proposed 20 to transform high dimensional deep features into compact 21 22 binary codes using bidirectional Fourier decomposition. This compact bit code saves memory and eases compu-23 tations during retrieval. Further, these codes can also serve 24 as hash codes, allowing very efficient access to images in 25 26 large datasets using approximate nearest neighbor (ANN) 27 search techniques. Our method does not require any training and achieves considerable retrieval accuracy with short 28 length codes. It has been tested on features extracted from 29 fully connected layers of a pretrained CNN. Experiments 30 conducted with several large datasets reveal the effective-31 32 ness of our approach for a wide variety of datasets.

Index Terms—Deep learning, Fourier transform, hash
 codes, image retrieval, industrial informatics.

I. INTRODUCTION

B IG data has recently emerged as a key concept, denoting the gigantic volume of data generated at a rapid pace due to the progress in sensing, communication, storage, cloud computing technologies, and algorithms. Recent statistics reveal

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that 1200 Exabytes of data is generated annually and the rate is 40 growing rapidly [1]. A huge fraction of this data is multimedia 41 data (images and videos), generated by various industries, such 42 as health, surveillance, agriculture, social web, online streaming 43 services, movies, games, and internet protocol television (IPTV) 44 industry [2]. For example, Facebook alone contains more than 45 40 billion photos [3]. Similarly, more than 500 h of videos are 46 uploaded to YouTube every minute [4]. These massive amounts 47 of data present enormous challenges for businesses and indus-48 tries. At the same time, it provides opportunities for impres-49 sive future growth, based on effective utilization of the data 50 for analysis. For instance, progress in medical imaging tech-51 nologies allows visual analysis of patient through a variety of 52 means including endoscopy, magnetic resonance imaging, ra-53 diography, ultrasonography, and many others. It causes huge 54 amounts of data to be generated, which is stored for imme-55 diate or future use. Similarly, surveillance cameras deployed 56 in wake of the recent security concerns throughout the globe, 57 also generate huge amounts of multimedia data, required to 58 be stored and properly indexed for possible future use. Major 59 issues with these gigantic multimedia repositories include trans-60 mission, management, storage, and their efficient indexing and 61 retrieval. 62

Providing reliable and efficient access to relevant data in large 63 image repositories based on their contents is a highly challeng-64 ing task which has been studied over the course of almost three 65 decades. Content-based image retrieval (CBIR) methods allow 66 retrieval of relevant images based on the content similarity be-67 tween the query and target images [5], [6]. A core component 68 of CBIR systems aims to represent images as feature vectors or 69 feature histograms that correspond to the color or texture content 70 of the image [7]. These systems can also be used to personalize 71 and recommend contents for IPTV delivery services [8]. Tradi-72 tionally, CBIR relied on hand-engineered features, such as scale 73 invariant features transform [9], bag-of-visual-words histograms 74 [10], [11], fisher vectors [12], vectors of locally aggregated de-75 scriptors [13], GIST [14], and CENsus TRansform hISTogram 76 [15]. Each of these methods represented images in terms of low-77 level features; however, these features often fail to model high-78 level semantics in images. Therefore, their performance in large 79 and challenging datasets was not very satisfactory [16]. In recent 80 years, the hand-engineered feature extraction methods have been 81 overshadowed by the feature learning based methods including 82

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deep convolutional neural networks (CNN), and deep denoising 83 auto-encoders [17], [18]. They automatically extract features 84 from images, which have been used in a variety of tasks, such as 85 86 image classification, object localization, recognition, segmentation, and image retrieval [19]. CNNs have been widely used 87 by the image retrieval community and have achieved state-of-88 the-art performance [16], [20]-[22]. These architectures have 89 several convolutional, pooling, and fully connected (FC) lay-90 ers, arranged in a hierarchy where successive layers learn com-91 92 plex features of the input [23]. Deep features are usually extracted from the FC layers of CNN which correspond to acti-93 vation values of the neurons in those layers. In a typical CNN, 94 these features often have thousands of dimensions. Though, 95 these features are capable of representing images effectively, 96 image indexing, and matching using these features become in-97 feasible for large datasets [21]. 98

Hash-based image retrieval methods aim at allowing efficient 99 access to relevant data in large datasets using approximate near-100 est neighbor (ANN) search approaches. In wake of the growing 101 demands for efficient access to large image repositories, these 102 103 methods have appealed significant attention in recent years [24]. They work on the principle of locality sensitive hash functions 104 that transform high dimensional features to low-dimensional 105 hamming space (binary codes) and attempt to preserve origi-106 107 nal neighbors in the hamming space [25]. These compact codes are then used to directly retrieve nearest neighbors of the query 108 image from the hamming space without exhaustive search. A 109 large variety of hashing methods have been proposed in re-110 cent years, which attempt to derive compact binary codes from 111 image features. A few notable methods include locality sen-112 sitive hashing (LSH) [25], [26], principal component analysis 113 based hashing (PCAH) [27], spectral hashing (SH) [28], spheri-114 cal hashing (SpH) [29], and density sensitive hashing (DSH) 115 [30], etc. Hash methods may be data-dependent or data-116 independent. They may be trained in either supervised or un-117 supervised manner. Typically, these methods are trained for a 118 particular dataset to generate hash codes of a certain length. If 119 the data changes or the length of the hash code needs to be modi-120 fied, the training procedure has to be rerun. These characteristics 121 limit their utilization in real applications. 122

In this paper, we propose an efficient method to transform 123 selected deep features directly into compact binary codes. It 124 does not require any training and can be efficiently executed on 125 a graphics processing unit (GPU) to quickly convert deep fea-126 tures to binary codes. We show that deep features from the FC 127 layers of CNNs are highly redundant, hence, we propose a fea-128 ture selection algorithm to identify effective deep features based 129 on neuronal sensitivity and diversity. The proposed hash codes 130 yield considerable retrieval performance for 256 and 512 bit 131 codes. Major contributions in this work are summarized as 132 follows. 133

 We show that the high dimensional deep features extracted from FC layers of a pretrained CNN are redundant and a significant number of activation features can be removed without any loss in retrieval performance, particularly when dealing with images of a particular category such medical or surveillance.

- An effective feature selection algorithm is proposed for 140 deep feature based on neuronal sensitivity and diversity 141 measures. 142
- 3) A highly efficient method is proposed for transforming 143 deep features into compact binary codes, which can be 144 used as hash codes for efficient image search. Our method 145 uses bidirectional fast Fourier transform (BD-FFT) which 146 allows hash codes of desired length to be computed di-147 rectly without requiring any training. The method can be 148 easily implemented on a GPU for significant speedup in 149 hash code computation at large scale. 150
- 4) We also show that the selected deep features yield better
 hash codes with the proposed BD-FFT method, and offer
 better locality sensitivity with 256 and 512 bit codes.
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The rest of the paper is organized as follows: Section II 154 highlights strengths and weaknesses of recent hash-based retrieval methods. Section III explains the proposed method in 156 detail, highlighting the key features of the presented algorithms. 157 Section IV reports evaluation results of the proposed method on 158 several popular datasets. The paper is concluded in Section V with some future research directions. 160

II. RELATED WORK

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Extraction of discriminative features is a primary factor in 162 the success of CBIR systems. The recent deep learning based 163 methods, especially CNNs yield highly discriminative features, 164 which achieve state-of-the-art performance in CBIR. Several 165 frameworks have been proposed for utilizing deep features 166 for image retrieval in challenging scenarios. For instance, 167 Krizhevsky et al. [23] showed that neuronal activations 168 extracted from FC layers can be used as feature descriptors and 169 image matching can be performed using standard Euclidean 170 distance. They also showed that these high dimensional features 171 can be easily compressed with dimensionality reduction 172 methods, such as principal component analysis (PCA), sacri-173 ficing accuracy for some degree of efficiency. Razavian et al. 174 [17], [18] and Babenko *et al.* [21], [22] showed that features 175 from a pretrained CNN can be used as generic descriptors 176 for image retrieval and other related tasks. They showed 177 that features from a pretrained CNN, trained on a very large 178 dataset (ImageNet [31]) achieve state-of-the-art performance, 179 surpassing traditional hand-engineered features by a huge 180 margin. Deep features from FC layers are very powerful global 181 representations, however, they are high dimensional and directly 182 utilizing them becomes inefficient, particularly for large scale 183 datasets [32]. 184

Large scale datasets demand efficient methods for storing 185 millions of images in memory and quickly finding relevant im-186 ages to a query image. ANN search methods like LSH have 187 shown promising results in recent years. Typically images are 188 represented as features vectors in high dimensional Euclidean 189 space, such that the Euclidean distance corresponds to image 190 similarity. The main objective of hashing methods is to generate 191 a low-dimensional embedding in hamming space while preserv-192 ing the neighborhood. Hence, when a query is issued, the hash 193 code of the query image is used to efficiently access nearest 194

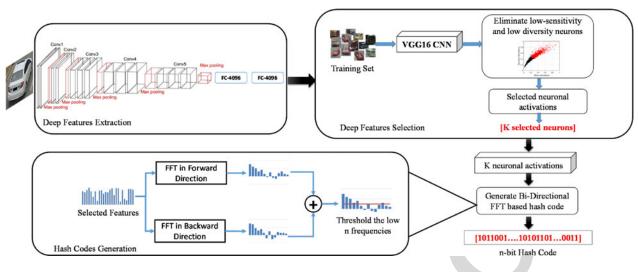


Fig. 1. Proposed framework.

neighbors of the query image using hamming distance. Based 195 on this idea, several approaches have been presented in the re-196 cent years. For instance, PCAH [33] used principle directions 197 of data as the projection vectors to transform features to binary 198 codes. In LSH [25], [34], the binary code is computed through 199 random linear projection with a random threshold. In theory, 200 hamming distance between LSH codes and Euclidean distance 201 202 between image pairs are highly correlated, however, in practice it can lead to very inefficient codes. SH [28] selects binary code-203 words though minimum distance between similar points, where 204 similarity is defined by an approximate proximity matrix. Theo-205 retically, it performs better than LSH, however, its optimization 206 is difficult to generalize for new data points. This problem is 207 solved with SpH [29] which uses Eigen functions of weighted 208 Laplace-Beltrami operations with the assumption of having a 209 multidimensional uniform distribution. It is highly efficient than 210 SH for hash code generation, however, its optimization is com-211 putationally expensive. DSH [30] is an extension of LSH which 212 utilizes random projections and also uses geometrical structure 213 214 of the data to guide the projections. It partitions the data points into k-groups and splits each pair of adjacent groups with a 215 projection vector. From all such projections, DSH selects the 216 vectors based on the maximum entropy principle. 217

Hash-based image retrieval methods significantly improve re-218 219 trieval efficiency in large scale datasets. However, these methods are difficult to implement in real applications and some of them 220 221 require sufficient training data and time, while others are slow at transforming feature vectors to hash codes. An ideal hash-222 ing method is computationally efficient, simple to implement 223 and yield state-of-the-art performance for a variety of datasets. 224 In this paper, we present a simple and highly efficient way 225 of transforming deep features to compact binary codes using 226 227 **BD-FFT**.

III. PROPOSED METHOD

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The proposed framework consists of two modules, feature selection and hash code generation as shown in Fig. 1. First, we studied deep features from FC layer of a pretrained VGG-16 CNN [35] in order to determine optimal set of features for a 232 particular type of data. Once the optimal features are selected, 233 they are converted to binary codes of different lengths using bidirectional FFT. Details of both modules are provided in the 235 subsequent sections. 236

A. Deep Features for Image Retrieval

Informatics and analytics systems make use of efficient ways 238 to access relevant information from large datasets. Visual data 239 constitute a large fraction of the data generated by different in-240 dustries, where accurate and efficient access will allow analysts 241 and experts make better and timely decisions. Features extracted 242 from deep CNNs have shown state-of-the-art performance in 243 image retrieval from large datasets due to their impressive repre-244 sentational capabilities. We used features from FC-4096 layer of 245 the VGG16 model [35] which was trained on ImageNet. These 246 features are regarded as generic descriptors for visual recogni-247 tion tasks including image classification and retrieval [17], [18]. 248 However, we argue that these features are highly powerful, 249 capable of representing a huge variety of visual data, and a 250 subset of these features will be sufficient to effectively represent 251 images of a particular type like medical radiographs or surveil-252 lance images of vehicles, etc. In such specific datasets, subsets 253 of these generic features can prove to be more appropriate than 254 the full set of features. For this purpose, we propose an efficient 255 method to select deep features from a pretrained CNN for repre-256 senting images of a particular type. Deep features from the FC 257 layer are constructed as global representations by combining the 258 local features extracted by various convolutional layers. VGG16 259 contains three FC layers having 4096, 4096, and 1000 neurons, 260 respectively. We used activation values of the second FC-4096 261 layer in our experiments because of their superior performance. 262 Each of these neurons are sensitive to particular objects or parts 263 of objects [36]. When a particular object appears in an image, 264 a subset of these neurons generate high activations indicating 265 its presence. Though these features are considered generic and 266 high level, their high dimensionality hinder their use in practical 267 applications. 268

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269 B. Optimal Deep Features Selection

270 Feature reduction offers improvements in efficiency and accuracy as it helps in getting rid of the less useful and often mis-271 leading features [37]. We propose an efficient method to select 272 optimal features from a pretrained CNN. An input image is usu-273 ally feed-forwarded though a deep CNN (e.g., VGG16) and the 274 activation values from the FC-4096 layer are extracted, which 275 are then used to index or retrieve images. In hash-based retrieval 276 systems, these features are transformed to compact binary codes 277 and then images are retrieved using hamming distance. How-278 ever, utilizing all these features for hash code generation and 279 retrieving images of specific type is ineffective. 280

Deep features from FC layers are global high level features 281 where particular neurons are sensitive to particular objects or 282 their parts. They respond actively when that particular part ap-283 pears somewhere in the image. For a dataset consisting of a 284 particular type of images, e.g., medical, it is highly unlikely 285 that object parts belonging to other categories, such as sports, 286 surveillance, or animals, may be encountered. In such a case, 287 utilizing all the features to represent images become ineffec-288 tive which may lead to decreased performance. In recent works, 289 we have seen that fine-tuning pretrained CNNs on particular 290 291 datasets yield better results, which is also a verification of the fact that specific features perform better than generic ones [16], 292 [38]. Instead of fine-tuning, we propose to discard irrelevant 293 features before using them for image retrieval tasks in specific 294 datasets. For this purpose, we selected a representative set of 295 296 images from a target dataset and extracted deep features from 297 them. We eliminated those neurons which generated negligible activations (low sensitivity to objects of interest) or similar acti-298 vations (less discriminative) for the training set. Mean activation 299 values μ and standard deviations σ were computed for all 4096 300 neurons over the entire training set, where the training set Ts 301 consisted of randomly chosen images from all the datasets we 302 used in the experiments and were represented by R^{4096} vectors 303 of deep features. Neurons having μi greater than the threshold 304 t_{μ} , and σi greater than the threshold t_{σ} were selected as the data 305 specific discriminative features in a set Fs. This process can be 306 307 performed for selecting specific features for representing images of a particular category. The feature selection mechanism 308 is presented in Algorithm 1. 309

310 C. Conversion to Compact Binary Codes

In this paper, we consider the selected feature vector as a 311 one-dimensional signal, and construct its frequency domain 312 representation using FFT. During this transformation, the time-313 domain signal is represented as a combination of different fre-314 quencies. These frequencies correspond to the activation pat-315 316 terns of neurons in the selected feature set. The Fourier spectrum effectively captures those patterns and represents them as fre-317 quencies with different amplitudes. The original signal can be 318 reconstructed using a certain representative frequencies of this 319 spectrum as shown in Fig. 2. Each frequency component will 320 indicate the presence or absence of a certain frequency content 321 (i.e., neuronal activation pattern) in the features. Based on this 322 323 idea, we select low n frequency components of the spectrum (excluding the dc component) and transform them into binary 324

Algorithm 1: Selection of optimal deep features.

Input: Training feature vectors Tf_i having size $T \times R^{4096}$ extracted from FC-4096 (VGG16)

Output: Indices of selected deep features F_s

Steps:

1. Calculate mean activation values μ_i and standard deviation σ_i for all 4096 neurons across the entire training set T

For i = 1 to 4096

$$\mu_{i} = \sum_{t=1}^{T} Tf_{i}$$

$$\sigma_{i} = \sqrt{\frac{\sum_{t=1}^{T} (Tf_{i} - \mu_{i})}{T}}$$
End for

2. Keep the neurons whose μ_i are greater than t_{μ} and σ_i is greater than t_{σ} .

$$Fs_i = \begin{cases} Select neuron, & \mu_i > t_{\mu} \text{ and } \sigma_i > t_{\sigma} \\ Discard neuron, & \text{otherwise} \\ & \text{where } t_{\mu} \text{ and } t_{\sigma} \text{ are selected empirically.} \end{cases}$$

3. Return the indices of selected neurons in F_s .

Algorithm 2: Conversion of deep features to binary codes.

Input: Deep feature vector f_i having R^d **Output:** n-bit binary code

Steps:

4.

1. Compute FFT of fi in forward direction to obtain a Fourier spectrum F_f

$$F_f = \sum_{j=0}^{d-1} f_i e^{-i2\pi k j/n}, \ k = 0, \dots, d-1$$

2. Compute FFT of fi in backward direction to obtain F_b

$$F_b = \sum_{j=d-1}^{0} f_i e^{-i2\pi k j/n}, \ k = 0, \dots, d-1$$

3. Compute the sum of F_f and F_b to obtain F.

$$F' = F'_f + F'_b$$

Calculate the real part of F

$$F' = real(F)$$

5. Calculate the mean frequency component f_m from F' without considering the DC component (F'_0)

$$f_m = \frac{1}{d} \sum_{i=1}^{d-1} F'_i$$

6. Convert the low-n frequencies in F' to binary codes H using the f_m as a threshold

$$H = \begin{cases} 1, & F'_i > f_m \\ 0, & \text{Otherwise} \end{cases}$$

codes as illustrated in Algorithm 2. Frequencies that are less 325 than certain threshold are converted to zero bits and the rest are 326 converted to ones. Though some information is lost during this 327 conversion, the main gist of the spectrum is somehow retained 328 which leads to high performing binary codes. Since each neuron 329 represent a semantic concept (such as object part), a sufficiently 330

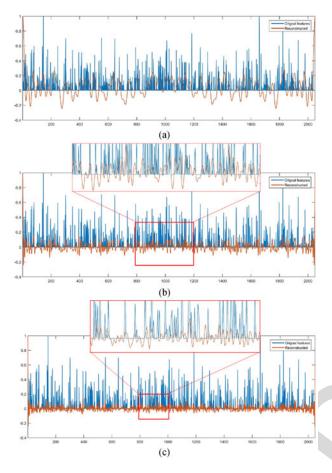


Fig. 2. Reconstruction of features from (a) 64 bits, (b) 256 bits, and (c) 512 bit hash codes generated using BD-FFT.

strong activation usually refer to the presence of that object part.
With such high level representation, if the reconstructed signal
adequately identify the high activation neurons, the code will be
an effective representation of the original features. The procedure for conversion of deep features to binary codes is provided
in Algorithm 2.

337 D. Bidirectional Fourier Decomposition

Though the simple FFT based binary conversion yield strong 338 representative codes [39], their quality can be further improved 339 with bidirectional FFT. In this case, we compute FFT of the 340 341 features in both forward and backward directions and then add the corresponding frequency spectra. The dc component is ig-342 nored and the subsequent n frequency components are binarized 343 to obtain the *n*-bit binary codes. Since the deep features are not 344 time-dependent, the bidirectional FFT actually helps capture the 345 patterns in neuronal activations more effectively, thereby yield-346 ing better codes. Experimental results revealed that the BD-FFT 347 based codes perform much better than the regular FFT based 348 codes as reported in the experiments section. 349

350 E. Locality Sensitivity of the Binary Codes

In LSH, the distance between the original features must correlate with the distance between the computed binary codes. To evaluate locality sensitivity of the proposed binary codes,

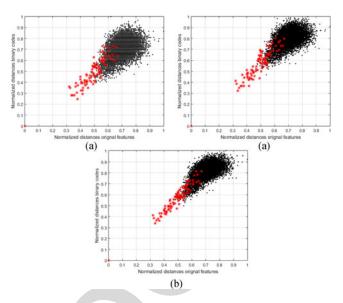


Fig. 3. Locality sensitivity of the proposed binary codes (a) 128 bits, (b) 256 bits, and (c) 512 bits.

we compared the normalized distances between deep features 354 and their corresponding binary codes. Fig. 3(a)-(c) reports the 355 356 correlation among the distances between deep features and their corresponding binary codes. The distances of the query image 357 with the rest of the images are shown on the x- and y-axis using 358 deep features and binary codes, respectively. The red dots cor-359 respond to the relevant images and the black dots represent the 360 irrelevant images in the dataset. Visualization of the distances 361 reveal that the binary codes strongly correlate with the original 362 deep features, especially for 256 and 512 bit codes, achieving 363 correlation scores of 0.8975 and 0.9447, respectively. Increase 364 in the distance between the original features is appropriately 365 reflected by the distance between the binary codes. The rele-366 vant images have relatively smaller distances than the irrelevant 367 ones which shows that those images will be retrieved at higher 368 ranks. This characteristic of the proposed binary codes will help 369 it achieve almost similar performance as the deep features. 370

IV. EXPERIMENTS AND RESULTS

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In this section, we present a detailed evaluation of the pro-372 posed method on a number of datasets used for benchmarking 373 image retrieval methods. Different experiments were designed 374 to measure performance of the proposed scheme and the effects 375 of deep feature selection. All the experiments were carried out 376 in MATLAB [40] environment on a Windows 7 PC equipped 377 with 16 GB RAM. All the hashing methods were implemented 378 and evaluated in MATLAB. 379

A. Datasets

A number of datasets have been used to evaluate retrieval 381 performance of the proposed method, including Corel-10 K, 382 Holiday [41], IRMA-2009 [42], vehicle reidentification (VeRI) 383 dataset [43], and stanford online products (SOP) dataset [44]. 384 Each of these datasets contain thousands of images and are 385 widely used to benchmark CBIR systems. Corel-10 K and 386 Holiday datasets consist a variety of natural images whereas 387

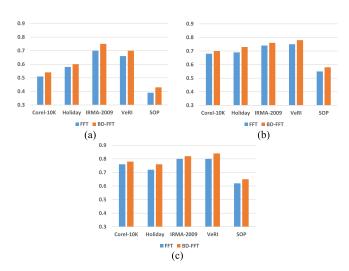


Fig. 4. Retrieval performance comparison FFT and BD-FFT based hash codes for (a) 128-bit, (b) 256-bit, and (c) 512-bit hash codes.

IRMA-2009, VeRI, and SOP contain images of particular categories including medical radiographs, vehicles, and products,
respectively.

391 B. Retrieval Performance of FFT Versus BD-FFT

A bidirectional Fourier decomposition of the feature vector 392 393 allowed us to capture patterns in the neuronal activations in a much better way. Each bit in the hash code indicate either the 394 presence (1-valued bits) or absence (0 valued bits) of activa-395 tion pattern in the original features. With BD-FFT, certain high 396 frequency patterns are captured in a much better manner than 397 the regular FFT based codes which leads to its superior perfor-398 399 mance as reported in Fig. 4. The precision scores for various datasets have been computed at recall = 0.2. The results reveal 400 that BD-FFT yield 3% to 10% better performance in terms of 401 precision scores as compared to FFT for all datasets at different 402 code lengths. 403

404 *C.* Retrieval Performance With Hash Codes Using 405 Different Subsets of Deep Features

In these experiments, we evaluated retrieval performance us-406 ing hash codes of different lengths, computed from different 407 subsets of deep features. Hash codes of 128, 256, and 512 bits 408 were generated for five different sets of features, which con-409 tained 4096, 1816, 1366, 820, and 585 neuronal activations. 410 These subsets were obtained by varying the threshold values in 411 Algorithm 1. Several images were selected at random from each 412 dataset and top ranked images were retrieved using hamming 413 distance between the query code and codes in the database. The 414 415 commonly used metrics including precision and recall were used to report retrieval performance for each dataset. Fig. 5 shows 416 retrieval results in Corel-10 K dataset with 128, 256, and 512 417 bit codes for five different subsets of features. For each subset 418 of features, the precision-recall curves are presented for hash 419 codes of different lengths. In all of these results, the subset with 420 1816 activations yield better performance than the other subsets, 421 422 even the full-feature set. The margin is clearly visible in 128-bit 423 codes and gradually reduces for 256 and 512 bit hash codes, yet

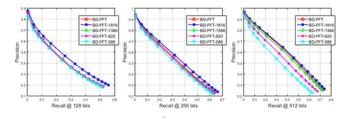


Fig. 5. Retrieval performance with hash codes generated from varying subsets of deep features for Corel-10 K dataset.

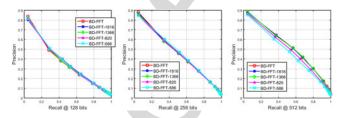


Fig. 6. Retrieval performance with hash codes generated from varying subsets of deep features for holiday dataset.

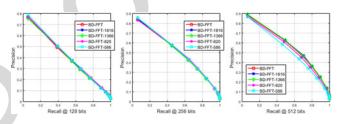


Fig. 7. Retrieval performance with hash codes generated from varying subsets of deep features for IRMA-2009 dataset.

it performs better than the other sets of features. Interestingly, 424 the performance of other reduced feature sets remains almost 425 the same as the full feature set, especially at 128 and 256 bit 426 codes. However, the 820 and 586 dimensional features failed to 427 catchup to the performance with other subsets in 512 bit codes. 428 It is important to observe here that performance remains almost 429 unchanged even if significant number of neuronal activations are 430 dropped. In 512 bit code, the scores for 4096, 1816, and 1366 431 features are almost the same. These results reveal the redundant 432 nature of deep features extracted from the FC layer. 433

The same experiments were carried out for Holiday image 434 datasets and the results presented in Fig. 6 reveal similar results 435 as compared to Corel-10 K. Features with 820 and 586 scores 436 slightly lower at 128 bits than the other subsets. However, the 437 performance with 4096, 1816 and 1366 features remains the 438 same for all hash codes. Though we did notice slightly better 439 performance at low recall for 1816 and 1366 subsets, the reduced 440 feature set performed almost the same as the full feature set. The 441 same results were observed with IRMA-2009 dataset as shown 442 in Fig. 7, where the reduced feature sets perform slightly better 443 at low recall and yield similar performance to the full feature 444 set for the rest of recall values with 128 and 256 bit codes. 445 However, with 512 bits, 1816-d, and 1366-d features achieve 446 better precision than the full feature set at all recall settings. 447

The VeRI dataset is quite challenging due to its large 448 volume and diversity. Carefully chosen subsets of features 449 either perform better than the full feature set or yield identical 450

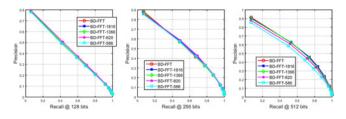


Fig. 8. Retrieval performance with hash codes generated from varying subsets of deep features for VeRI dataset.

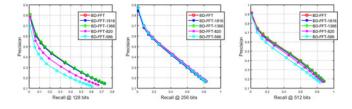


Fig. 9. Retrieval performance with hash codes generated from varying subsets of deep features for SOP dataset.

performance. In this dataset, we observed similar performance 451 for all subsets with 128 and 256 bit codes. With 512 bit codes, 452 820-d, and 586-d features scored slightly lower precision at all 453 recall settings as shown in Fig. 8. Finally, same experiments 454 were run for the SOP dataset which is the most challenging 455 dataset with huge volume and large number of product 456 457 categories. Precision scores dropped significantly when recall rates are increased, particularly for 128 bit codes. At this length, 458 the hash codes generated for 4096, 1816, and 1366 features 459 yield similar retrieval performance, whereas the other subsets 460 achieve very low precision scores. With 256 bit codes, all the 461 462 subsets achieve similar precision scores at all recall rates. At 512 bits, 1816-d, and 1366-d features score almost the same as 463 the 4096-d features as presented in Fig. 9. 464

With these results, we can conclude that the FC layer features are highly redundant and can be substantially reduced without any loss in performance. Even in some cases, may get improved retrieval results. Through these experiments, we decided to utilize the selected 1816 neuronal activations from the FC-7 layer instead of the 4096 features to generate hash codes for efficient image retrieval in large datasets.

472 D. Retrieval Performance With State-of-the-Art Hashing 473 Schemes

In this section, we compare the retrieval performance of the 474 proposed hash codes with five other schemes including LSH 475 [25], [34], SH [28], PCAH [33], DSH [30], and SpH [29]. In 476 these experiments, query images were randomly chosen from 477 each dataset and top ranked images were retrieved using hash 478 codes of 128, 256, and 512 bits. Precision-recall scores are 479 reported for each experiment. Fig. 10 presents the retrieval per-480 formance of various hashing methods for Corel-10 K dataset. 481 The proposed method performed better than LSH at 128 bits, 482 however, it achieved low precision scores compared to other 483 methods. At 256 bits, BD-FFT outperformed LSH and PCAH 484 at low recalls, and LSH, PCAH, and SH at high recall rates. At 485

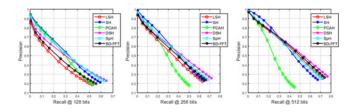


Fig. 10. Retrieval performance with hash codes compared with stateof-the-art methods for Corel-10 K dataset.

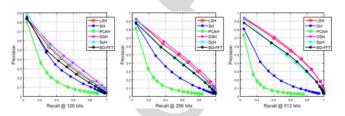


Fig. 11. Retrieval performance with hash codes compared with stateof-the-art methods for holiday dataset.

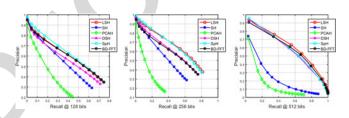


Fig. 12. Retrieval performance with hash codes compared with stateof-the-art methods for IRMA-2009 dataset.

low recall rates, BD-FFT performed similar to DSH. The per-486formance of BD-FFT improved significantly with 512 bit codes487where it outperformed LSH and PCAH at low recalls and LSH,488PCAH, SH, and SpH at all recall rates above 0.35.489

In Holiday dataset, BD-FFT performed better than PCAH 490 and SH at 128 and 256 bit codes (see Fig. 11). At 512 bits, 491 it significantly outperformed PCAH, SH, and yielded slightly 492 better precision scores than SpH at most recall settings. How-493 ever, the performance of LSH and DSH was relatively better 494 for this dataset. In IRMA-2009 dataset, BD-FFT yielded better 495 results than PCAH, SH, DSH, and LSH at 128 bit codes. Only 496 SpH performed slightly better than our method. With 256 bit 497 codes, BD-FFT scored better than PCAH and SH, however it 498 performed slightly poor than the rest of the methods. Increasing 499 the hash code length to 512 bits resulted in much better perfor-500 mance of our method, surpassing SpH, SH, PCAH, and DSH 501 for recall rates above 0.4 as shown in Fig. 12. 502

In the VeRI dataset, BD-FFT significantly outperformed 503 PCAH, SH, and DSH in all experiments. With 512 bits, it per-504 formed better than LSH at high recalls and reached the perfor-505 mance of SpH (see Fig. 13). Similarly in SOP dataset, BD-FFT 506 outperformed PCAH and SH at 128, 256, and 512 bit codes. 507 However the other methods LSH, SpH, and DSH performed 508 much better at low recall rates as shown in Fig. 14. This is the 509 most challenging dataset and that is why its precision scores are 510 much lower than the other datasets. 511

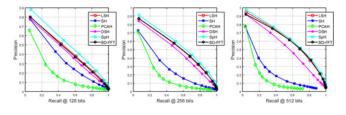


Fig. 13. Retrieval performance with hash codes compared with stateof-the-art methods for VeRI dataset.

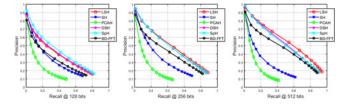


Fig. 14. Retrieval performance with hash codes compared with stateof-the-art methods for SOP dataset.

In most of the datasets, BD-FFT outperformed majority of the 512 methods, and achieved impressive performance especially with 513 256 and 512 bit hash codes. Moreover, the proposed method 514 yields more significant performance gains than the other com-515 peting methods when size of the hash code increases. Keeping 516 in view the simplicity of our method, these results are very 517 promising. From these results, we can conclude that the pro-518 posed method is capable of transforming high dimensional deep 519 520 features to compact binary codes of any length. We recommend hash codes of length 256 or 512 bits to be used for image index-521 ing and retrieval in large datasets. Though higher length codes 522 can also be generated in the same efficient manner, which may 523 524 yield performance improvements in most cases.

525 E. Qualitative Retrieval Performance Using the 526 Proposed Hash Codes

In this experiment, randomly chosen query images were used 527 to retrieve top-ranked images from each of the five datasets us-528 ing hash codes generated with the proposed BD-FFT method 529 having 512-bit length. Results of two queries have been shown 530 for each dataset in terms of top 20 retrieved images in Fig. 15. 531 Results reveal that the proposed hash codes is capable of retriev-532 ing relevant images at top ranks despite the huge volume and 533 diversity within these datasets, particularly IRMA-2009, Stan-534 ford Online Products, and VeRI. The proposed hash codes can 535 effectively represent deep features, allowing almost the same 536 retrieval results as the raw features. The top two queries were 537 taken from Corel-10 K dataset where all relevant images have 538 been retrieved at top ranks. The next two rows contain results 539 from Holiday dataset where the first query image had three 540 other relevant images in the dataset, which have been success-541 fully retrieved at top ranks. It is important to note here, that the 542 rest of the images, though irrelevant, resemble the query image 543 in visual appearance. Similar is the case with the other query 544 where the images at ranks 1, 2, 3, and 5, have been correctly 545 retrieved. The other images are also visually similar to the query 546 547 image. In the third pair of queries, visually similar images have

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| IRMA-2009 | 1 | M | 11 | N | 11 | 1 | 25 | 11 | 1 | 1 |
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Fig. 15. Retrieval results using BD-FFT based 512-bit hash codes.

 TABLE I

 TRAINING TIME REQUIRED (IN SECONDS) FOR VARIOUS HASHING METHODS

| Method | Training Time (20000 \times 4096 features) 512-bits |
|--------|---|
| LSH | 0.03 |
| SH | 20.6 |
| PCAH | 19.7 |
| DSH | 30.2 |
| SpH | 252.1 |
| BD-FFT | 0.00 |

TABLE II TIME REQUIRED (IN SECONDS) FOR TRANSFORMING FEATURES TO HASH CODES USING VARIOUS METHODS

| Method | Feature Size | | | | | | | | |
|--------------|--------------|---------|---------|---------------------|---------|---------|---------------|---------|---------|
| | 10000 × 4096 | | | 20000×4096 | | | 200000 × 4096 | | |
| | 128-bit | 256-bit | 512-bit | 128-bit | 256-bit | 512-bit | 128-bit | 256-bit | 512-bit |
| LSH | 0.30 | 0.31 | 0.40 | 0.60 | 0.61 | 0.62 | 1.68 | 2.92 | 5.50 |
| SH | 1.10 | 4.47 | 16.18 | 2.20 | 8.32 | 33.3 | 24.76 | 84.86 | 341.9 |
| PCAH | 0.07 | 0.13 | 0.27 | 0.16 | 0.33 | 0.55 | 1.53 | 2.78 | 5.49 |
| DSH | 0.08 | 0.14 | 0.29 | 0.16 | 0.34 | 0.59 | 2.18 | 3.09 | 6.2 |
| SpH | 0.22 | 0.33 | 0.63 | 0.47 | 0.71 | 1.44 | 0.51 | 0.98 | 1.82 |
| BD-FFT (CPU) | | 0.55 | | | 1.2 | | | 13.9 | |
| BD-FFT (GPU) | | 0.02 | | | 0.041 | | | 0.43 | |

TABLE III

STORAGE SPACE REQUIREMENTS FOR 1 MILLION IMAGES WITH DEEP FEATURES AND PROPOSED HASH CODES

| Features | Storage required (MB) | Storage required (GB) | Retrieval performance % of original features | | |
|--------------------------|-----------------------|-----------------------|--|--|--|
| Raw (4096 deep features) | 31250 | 30.51758 | 100 | | |
| 512-bit | 61.03516 | 0.059605 | 97.02 | | |
| 256-bit | 30.51758 | 0.029802 | 92.09 | | |
| 128-bit | 15.25879 | 0.014901 | 86.10 | | |
| 64-bit | 7.629395 | 0.007451 | 64.25 | | |
| 32-bit | 3.814697 | 0.003725 | 40.31 | | |

been successfully retrieved at top ranks for both queries. The 548 last two pairs of queries are from the most challenging datasets 549 SOP, and VeRI. Despite the challenging nature and large size 550 of these datasets, the proposed codes were able to retrieve the 551 relevant images at top ranks. These results show the promis-552 ing performance of the proposed codes. With sufficiently sized 553 codes, almost the same retrieval results can be achieved with the 554 proposed method. 555

556 F. Efficiency Analysis

In this section, we evaluate efficiency of the proposed scheme 557 in terms of training time, hash code computation time, and 558 storage requirements for the varying length hash codes. We aim 559 to provide an insight into how efficient the proposed method 560 is, compared to other similar approaches. In Table I, we listed 561 the training times for various competing methods when 20 000 562 features having 4096-dimensions were used for training the 563 hashing functions. The training time mentioned in seconds, re-564 veal that the LSH method is the quickest to train and takes only 565 0.03 s. The SH and PCAH methods take around 20 s, whereas, 566 DSH require 30.2 s. The most computationally expensive 567 method was found to be SpH which took 252.1 s to train for 568 generating 512-bit hash codes. Though some of these methods 569 are quite fast to train, they would require retraining when 570 the hash code size gets changed. Further, the data-dependent 571 methods like SH and SpH require to be trained each time 572 when utilized for a different kind of dataset. Contrary to these 573 methods, the proposed method do not require any training and 574 can be used to directly transform deep features into binary hash 575 576 codes of any length. Further, using specialized hardware (GPU), the proposed method can be executed in parallel, yielding very 577

high speeds for transforming features to hash codes. These 578 characteristics make its implementation in real applications 579 very easy. The proposed method can be easily implemented to 580 transform the indexed features to binary codes which would 581 allow efficiently locating similar images using ANN schemes. 582

Table II lists the hash code computation times for varying 583 length codes using deep features. We used three test sets, having 584 10 K, 20 K, and 200 K vectors of 4096-d to evaluate the con-585 version efficiency. Hash codes of 128, 256, and 512-bits were 586 obtained using different hashing methods and the conversion 587 times were recorded. The average conversion times reported in 588 Table II reveal that majority of the methods including LSH, 589 PCAH, DSH, and SpH are very efficient when shorter length 590 hash codes are generated. The slowest method SH required 591 1.10 s to convert 10 K features to 128-bit hash codes, however 592 it took 341.9 s to convert 200 K features to 512-bit codes. In 593 comparison, most of the hashing methods are more efficient 594 than the proposed method on a CPU, which require 0.55, 1.2, 595 and 13.9 s to convert 10 K, 20 K, and 200 K features into 596 128, 256, and 512 bit hash codes, respectively. However, the 597 advantage of the proposed method over other methods is that 598 it can be easily computed on a GPU which yield significant 599 gains in efficiency, reducing the computation times to 0.0002, 600 0.041, and 0.43 s for 128, 256, and 512-bits, respectively. 601 If the proposed method is implemented on a GPU, it can 602 compute hash codes significantly faster than all the other 603 competing methods. This characteristic also favors our method 604 for implementation in practical applications. 605

In Table III, we show the amount of storage required for 606 1 Million images when the raw features are stored to index 607 images. We also show the amount of storage required to index 1M images with 32, 64, 128, 256, and 512-bit codes. In 609 623

addition, we also report the relative image retrieval performance 610 to the original deep features for each code. With 32-bit codes, we 611 would require only 3.8 MB storage to index the images, however 612 613 we would only get 40.3% retrieval performance. Hash codes greater than or equal to 128-bits, yield considerable retrieval 614 performance as well as saves storage space. The recommended 615 setting is to generate 256 or 512 bit codes for representing im-616 ages because they would respectively yield 92% and 97% rela-617 tive retrieval performance as compared to the original features. 618 619 Further, these hash codes reduce the storage requirements of the index file from 30.5 GB to only 30 or 61 MB, which allow them 620 to be easily fit into memory. This would significantly improve 621 retrieval efficiency for large scale datasets. 622

V. CONCLUSION AND FUTURE WORK

In this paper, we presented an efficient method to directly 624 transform deep features into compact hash codes with locality 625 sensitivity property. These hash codes allow efficient retrieval 626 from large scale datasets utilizing ANN search procedures. The 627 628 proposed hash code conversion method require two steps. First, salient deep features are selected using the proposed feature se-629 lection algorithm, which analyzes the deep features and selects 630 features with higher diversity than a certain threshold. We an-631 632 alyzed deep features and found that these features are highly redundant and a significant number of these features can be 633 ignored without any loss in retrieval performance. Through ex-634 periments, we determined 1816 features out of 4096 to represent 635 images. In the second step, we computed the FFT of these se-636 lected features and binarized the top-n frequencies using mean 637 frequency as the threshold. The parameter n determined the 638 desired length of the hash code. The main idea behind the pro-639 posed method is to represent the selected deep feature as a 640 signal and the FFT is used to approximate the feature vector 641 in the frequency domain. The computed hash codes have sig-642 nificant representational capability with 128, 256, and 512 bit 643 codes, where the 512 bit codes yield almost the same retrieval 644 accuracy as the original deep features. 645

An essential characteristic of the proposed hashing method is 646 that it is completely data-independent and does not require any 647 training. Hash codes of any length can be directly computed very 648 efficiently. The implementation and operational simplicity of the 649 proposed scheme makes it very convenient to be implemented in 650 real-world applications. Further, GPU based acceleration of the 651 proposed method can substantially improve overall efficiency 652 of the retrieval system of large scale datasets. In this work, we 653 showed that the proposed method yield comparable performance 654 to the state-of-the-art for codes above 256 bits, however its 655 performance with smaller codes is relatively weak. Further, the 656 proposed method performs well for deep features, however, it 657 may not perform well for sparse features and further study is 658 needed to improve its performance for any type of features. 659

In future, we plan to study the effects of deep features on its frequency spectrum and devise more effective ways of capturing information in deep features into the compact binary representations. Further, we will also evaluate wavelet based methods to construct high performance short codes so that the retrieval efficiency could be further enhanced.

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